# Some Good Block PSK Real Number Codes for Rayleigh Fading Channels

Shalini Periyalwar and Xin Jin Department of Electrical Engineering Technical University of Nova Scotia P.O. Box 1000, Halifax N.S. B3J 2X4

**Abstract** — PSK real number codes for the Rayleigh fading channel were proposed in [1]. This paper tabulates the detailed mapping of some simple full-diversity low-delay codes in the original and the manually refined forms. The performance is examined by simulation at various interleaving depths. For the codes considered, the manually refined ones are found to be easy to implement and offer very little performance loss compared with the original.

#### I. INTRODUCTION

Optimum coded modulation design for mobile wireless channels which typically experience severe Rayleigh/Rician fading is a topic of continued research. The lengthy burst errors caused due to the occurrence of deep fades, particularly at slow mobile speeds, results in the performance of coded modulation schemes being worse than that of uncoded modulation, even when significant interleaving depths are employed.

For Rayleigh fading channels, it has been shown that, using coherent maximum likelihood sequence estimation with known channel information, the pairwise error probability of the coded modulation schemes depends greatly on the degree of timediversity [2-4] instead of squared Euclidean distance. Although many BCM codes for Rayleigh fading channels [e.g. 5-9] have already been designed based on criteria such as increased diversity, their diversity length is still less than the codeword length. To achieve a given diversity length, longer channel codewords are used, which leads to increased interleaving delay.

Block real number coding was introduced in [1] as a generalization of Block Coded Modulation (BCM). It uses a new design method and more accurate design criteria to search for the optimal code in the expanded code space. The codes so obtained are found to be able to provide full diversity for the Rayleigh fading channel.

In this paper, we choose a few good full diversity lowdelay low-complexity codes found in [1] for further investigation. The detailed mapping tables of the codes are presented; the manual refinements of the numerical solution are conducted; the performance of the codes are simulated for different interleaving depths in the Rayleigh faded channel.

#### **II. REAL NUMBER CODE AND ITS DESIGN**

This section briefly reviews the concept of the real number code and its design method, proposed in [1].

An (n,k) block real number code transmits k information bits by n 2-dimensional channel symbols with coding rate  $r \triangleq k/n$  bits/2D. Its encoder is shown in Fig. 1. The encoding operation is simply mapping each k-long binary information block  $b \triangleq (b_1, b_2, ..., b_j, ..., b_k) \in B$  by a memoryless one-to-one correspondence to a 2n dimensional real vector  $s \triangleq (x_1, y_1, x_2, y_2, ..., x_l, y_l, ..., x_n, y_n) \in S$ , where the set  $B \triangleq \{(b_1, b_2, ..., b_j, ..., b_k) : b_j \equiv \{0,1\}, j=1,2,...,k\}$ , and the set  $S \triangleq \{s^{(i)} \triangleq (x_1^{(i)}, y_1^{(i)}, ..., x_n^{(i)}, y_n^{(i)}) : s^{(i)} \in \mathbb{R}^{2n}, i = 1, 2, ..., M\}$ ,  $M \triangleq 2^k$ . The real vector s is the final channel codeword sent through a 2ndimensional equivalent channel, which can be constructed, e.g.,

by a 2-dimensional physical channel and a pair of parallelto-serial and serial-to-parallel converters on either side. As there are in total  $M=2^k$ combinations of input bits, Mpoints in the 2*n*-dimensional real space  $R^{2n}$  will be chosen as legal codewords; they are denoted as  $s^{(i)}$ , i=1,2,...,M.



 $(x_l^{(i)}, y_l^{(i)})$  are then the coordinates of the 2D signal point of the *i*th codeword at time slot *l*. In the receiver, the noisy and distorted counterpart of *s* can be detected block by block by a maximum likelihood detector. The *codeword length* and the *diversity length* of the code are defined as the value of *n* and the minimum Hamming distance between the codewords respectively.

It is clear that the encoder mapping must be designed optimally so as to achieve the best performance in some sense, and this task is in fact to choose the real number values of 2nMelements in  $s^{(i)}$ , i=1,2,...,M. In the design, we choose the criterion to be the minimization of the union-Chernoff upper bound of the word error rate [2,3]. The code design problem can be transferred to a multivariable constrained nonlinear optimization problem [1] and solved numerically. The optimization model is formulated as

$$\min_{\substack{\mathbf{s} \in \mathbf{M} \in \mathbf{R}^{2n} \\ \mathbf{s} \in \mathbf{M} \in \mathbf{R}^{2n}}} \left\{ \sum_{l=1}^{M-1} \sum_{j=l+1}^{M} \prod_{l=1}^{n} \left[ 1 + \frac{\overline{F_s}}{4N_0} \left[ (x_1^{(1)} - x_2^{(j)})^2 + (y_1^{(1)} - y_2^{(j)})^2 \right]^{-1} \right] \right\}$$
  
subject to  
 $[x_1^{(1)}]^2 + [y_1^{(1)}]^2 = 1$  for  $i = 1, 2, ..., M; \ l = 1, 2, ..., n$ 

Following the new design approach, the code is constructed

**ICPWC'94** 

This research was supported by grants from Burchill Communications Research Group.

by a one-step mapping, rather than through some intermediate binary codes; however, the conventional BCM PSK codes are in fact still observed to be within its search space as special cases.

#### **III. THE CODES AND THEIR PERFORMANCE**

This section discusses five codes and their simplified versions. All the codes are full diversity ones, i.e., the diversity length equals the codeword length.

In the simulation of the code performance, the system assumed is as follows: the coded channel block is converted to serial form and transmitted as a sequence of 2D symbols; the sequence is interleaved by a block-type symbol interleaver, then transmitted through the slow flat Rayleigh fading channel; in the receiver, the received sequence in deinterleaved accordingly and detected by a maximum likelihood block decoder with known channel state information and perfect timing [2-4]. The block-type interleaver (/deinterleaver) is realized by a n by mmatrix which is written column by column (/row by row) and read row by row (/column by column), where n is the codeword length. The interleaving depth is defined as  $n \times m$ , which determines the total interleaving delay; the interleaving degree is defined as the dimension m of the matrix. In all the simulations, the normalized Doppler frequency  $f_d T_s$  is assumed to be 0.001.

### 1. Length 2 Codes

(2,2) code (r=1 bit/2D): The original code mapping table obtained from the numerical solution of the optimization search is given in Table I (a). In the table, the first column indicates the codewords, each corresponds to one of the four combinations of 2-bit information block by certain one-to-one mapping rule; the second column lists the corresponding signal point coordinates of the two 2D channel symbols mapped to. The two 2D constellations are unequally spaced 4-ary PSK, they are also depicted in Fig. 2.

Table 1	l (a):	The	mapping	of	the	original	(2,2)	code
---------	--------	-----	---------	----	-----	----------	-------	------

Code-	Codeword coordinates				
words	1st 2D	2nd 2D			
<b>s</b> <sup>(0)</sup>	( 9.5051E-1,-3.1176E-	-1) ( 4.3326E-1, 9.0170E-1)			
<b>B</b> <sup>(1)</sup>	( <u>1.7443E-1</u> , 9.8501E	-1)(-9.5384E-1, 3.0161E-1)			
<b>\$</b> <sup>(2)</sup>	(-9.5051E-1, 3.1176E-	-1) ( 9.5384E-1, -3.0161E-1)			
<b>s</b> <sup>(3)</sup>	(-1.7443E-19.8501E-	-1)(-4, 3326E-1, -9, 0170E-1)			





Fig. 2

Table I (b):						
Refine	d (2,2) code					
Code-	Code- Phase					
words	number of					
	codewords					
<b>s</b> <sup>(0)</sup>	0 0					
<b>\$</b> <sup>(1)</sup>	1 1					
<b>s</b> <sup>(2)</sup>	2 3					
<b>s</b> <sup>(3)</sup>	3 2					

The constellations are observed to be almost equally spaced. It can be expected that the performance would not change much if the signal point locations are replaced by the equally spaced QPSK accordingly. By doing so, the mapping table of the manually refined code is obtained as in Table I

(b), where a phase number i ( $i=0, 1, ..., 2^{k}-1$ ) means the signal point has a phase of  $2\pi i/2^{k}$ , here k=2 for this code.

Fig. 3 shows the simulated word error rate of the original code (denoted by the abbreviation "O.") and the refined code (denoted by "R.") at various interleaving degrees. The union-Chernoff upper bound [2,3] of the original and the refined codes as well as the exact uncoded BPSK performance are also plotted for comparison. It can be observed that: 1) the refined code performs almost as well as the original in this case; 2) for good performance, the interleaving degree should be 90 or more at the simulated fading speed.





(2,3) code (r = 1.5 bits/2D): The mapping table of the original and refined codes are given in Tables II (a) and (b) respectively. The original code is an 8-ary unequally spaced

Code-	Codeword coordinates				
words	1st 2D	2nd 2D			
<b>B</b> <sup>(0)</sup>	( 5.6985E-1,-8.2221E-1)	( 8.0991E-1,-5.8713E-1)			
<b>S</b> <sup>(1)</sup>	( 9.8132E-1,-1.9435E-1)	(-2.2708E-1, 9.7422E-1)			
<b>\$</b> <sup>(2)</sup>	( 7.6529E-1, 6.4427E-1)	( 9.7552E-1, 2.2143E-1)			
<b>B</b> <sup>(3)</sup>	( 1.9742E-1, 9.8070E-1)	(-5.9292E-1,-8.0568E-1)			
<b>B</b> <sup>(4)</sup>	(-6.4188E-1, 7.6730E-1)	(-8.0223E-1, 5.9758E-1)			
<b>s</b> <sup>(5)</sup>	(-9.9487E-1, 1.0481E-1)	( 2.1442E-1,-9.7709E-1)			
<b>8</b> <sup>(6)</sup>	(-7.9899E-1,-6.0198E-1)	( 5.5565E-1, 8.3182E-1)			
<b>s</b> <sup>(7)</sup>	(-1.4414E-1,-9.8994E-1)	(-9.8459E-1,-1.7681E-1)			

Table II (a): The mapping of the original (2,3) code

Table	Π	(b):	
-------	---	------	--

Refined (2,3) code					
Code- words	Phase number of codewords				
<b>B</b> <sup>(0)</sup>	0 0				
<b>s</b> <sup>(1)</sup>	1 3				
<b>s</b> <sup>(2)</sup>	2 1				
<b>8</b> <sup>(3)</sup>	3 6				
<b>8</b> <sup>(4)</sup>	4 4				
<b>s</b> <sup>(5)</sup>	57				
<b>B</b> <sup>(6)</sup>	6 2				
\$ <sup>(7)</sup>	7 · 5				

PSK one, the constellations are given in Fig. 4.

Fig. 5 gives the simulated word error performance together with the bounds of the original and the refined codes. The performance loss due to the refinement is nearly invisible and the complexity refined codes is greatly reduced by using standard 8PSK constellations.

(2,4) code (r = 2 bits/2D): The mapping table of the original and

refined codes are given in Tables III (a) and (b) respectively. The original code has unequally spaced PSK constellations of size 16, the constellations are given in Fig. 6. Simulation results of the original and the refined codes are presented in Fig. 7 with the simulated performance of uncoded QPSK for comparison. The union-Chernoff bounds are also plotted for both codes. Again, the refinement causes very little loss.



Fig. 5

Table III (a): The mapping of the original (2,4) code

Code-	Codeword coordinates
words	1st 2D 2nd 2D
<b>s</b> <sup>(0)</sup>	( 1.0038E+0,-4.0075E-2)( 9.7534E-1,-2.2921E-1)
<b>B</b> <sup>(1)</sup>	( 9.3495E-1, 3.6754E-1)(-1.9089E-1,-9.8356E-1)
<b>B</b> <sup>(2)</sup>	( 7.0547E-1, 7.1520E-1)(-8.4535E-1, 5.3778E-1)
<b>s</b> <sup>(3)</sup>	( 3.7269E-1, 9.3290E-1)( 9.7407E-1, 2.3456E-1)
<b>s</b> <sup>(4)</sup>	(-4.8474E-3, 1.0046E+0)(-6.1647E-1,-7.8981E-1)
<b>s</b> <sup>(5)</sup>	(-3.4510E-1, 9.4346E-1)( 1.4177E-1, 9.9183E-1)
<b>B</b> <sup>(6)</sup>	(-6.8469E-1, 7.3512E-1)( 8.4407E-1,-5.3979E-1)
<b>s</b> <sup>(7)</sup>	(-9.0281E-1, 4.4062E-1)(-9.8564E-1, 1.7984E-1)
<b>s</b> <sup>(8)</sup>	(-1.0030E+0, 5.6614E-2)( 5.8402E-1, 8.1410E-1)
<b>ø</b> <sup>(9)</sup>	(-9.6960E-1, -2.6286E-1) ( 1.3539E-1, -9.9272E-1)
<b>s</b> <sup>(10)</sup>	(-7.1438E-1,-7.0632E-1)(-8.4750E-1,-5.3439E-1)
<b>8</b> <sup>(11)</sup>	(-3.3948E-1,-9.4550E-1)( 6.1197E-1,-7.9330E-1)
<b>8</b> <sup>(12)</sup>	(-4.3053E-2,-1.0037E+0)(-6.1018E-1, 7.9468E-1)
· <b>8</b> <sup>(13)</sup>	( 3.8492E-1,-9.2793E-1)( 8.3920E-1, 5.4733E-1)
<b>s</b> <sup>(14)</sup>	( 7.0193E-1, -7.1868E-1) (-9.8682E-1, -1.7323E-1)
<b>B</b> <sup>(15)</sup>	( 9.3333E-1, -3.7163E-1)(-1.8703E-1, 9.8430E-1)





Fig. 6



## 2. A Length 3 Code

The length 3 code has coding rate of 1 bit/2D, so it is a (3,3) code. The mapping for the original code and the refined version are tabulated in Table IV (a) and (b) respectively. The three 2D PSK constellations of the original code are plotted in Fig. 8. Simulated performance and the bounds for the both code versions are illustrated in Fig. 9 with BPSK performance for comparison.

# Table III (b):

14010 111 (0).					
Refined	a (2,4) co	de			
Code- words	Code- Phase words number of codewords				
<b>B</b> <sup>(0)</sup>	0 0				
<b>s</b> <sup>(1)</sup>	1 12				
<b>s</b> <sup>(2)</sup>	2 7				
<b>B</b> <sup>(3)</sup>	3 1				
<b>S</b> <sup>(4)</sup>	4 11				
<b>s</b> <sup>(5)</sup>	54				
<b>s</b> <sup>(6)</sup>	6 15				
<b>s</b> <sup>(7)</sup>	78				
<b>s</b> <sup>(8)</sup>	83				
<b>8</b> <sup>(9)</sup>	9 13				
<b>8</b> <sup>(10)</sup>	10 10				
<b>8</b> <sup>(11)</sup>	11 14	-			
<b>8</b> <sup>(12)</sup>	12 6				
<b>B</b> <sup>(13)</sup>	13 2				
<b>s</b> <sup>(14)</sup>	14 9				
<b>s</b> <sup>(15)</sup>	15 5				

Table IV (b): Refined (3,3) code

> Phase number of

codewords

6 3

6

4

2

0 0 0

1

2 4

3

4 5 1

5 2 7

6 7 5

7 3

Code-

words

**s**<sup>(0)</sup>

**s**<sup>(1)</sup>

**B**<sup>(2)</sup>

**8**<sup>(3)</sup>

**s**<sup>(4)</sup>

**s**<sup>(5)</sup>

**s**<sup>(6)</sup>

S (7)





1	-		•	 	-
65					
		•		-	
°				 	
دە				 ·	-
1	_			 L	-
	ب		<u>.</u>	 5	1

Fig. 8



Fig. 9

### 3. A Length 4 Code

This is a (4,4) rate 1 bit/2D code. The original code obtained from the searching program has the mapping as in Table V (a) on the next page, the four 2D constellations are shown in Fig. 10; its refined 16PSK version realizes mapping given in Table V (b). Simulation results are shown in Fig. 11.











From the simulation results, it can be seen that upon sufficient interleaving, all the codes provides significant gains in average SNR over the uncoded counterparts (if available). An interleaving degree more than 90 should be used to realize the gain, a degree between 180 and 360 is recommended. The loss caused by simplifying the original codes to a symmetric PSK codes is less than 0.2 dB for all the five cases.

Code-	Codeword coordinates						
words	1st 2D	2nd 2D	3rd 2D				
<b>B</b> (0)	(-9.1317E-1,-4.0771E-1)(	4.9031E-2, 9.9899E-1)	(-9.3731E-1, 3.4887E-1)				
<b>B</b> (1)	(-3.8901E-1, -9.2130E-1)(	9.9450E-1,-1.0655E-1)	( 3.9318E-1,-9.1961E-1)				
S <sup>(2)</sup>	( 3.4463E-1, -9.3880E-1)(	-1.6370E-1,-9.8671E-1)	( 3.9538E-1, 9.1866E-1)				
<b>B</b> (3)	( 9.4219E-1,-3.3525E-1)(	-6.0818E-1, 7.9404E-1)	( 9.1507E-1,-4.0363E-1)				
<b>B</b> (4)	( 9.3566E-1, 3.5306E-1)(	6.5402E-1,-7.5673E-1)	(-9.4075E-1,-3.3950E-1)				
<b>s</b> (5)	( 3.8901E-1, 9.2130E-1)(	-9.9450E-1, 1.0654E-1)	(-3.9318E-1, 9.1961E-1)				
<b>s</b> (%)	(-3.9948E-1, 9.1681E-1)(	7.9948E-1, 6.0102E-1)	( 8.9541E-1, 4.4554E-1)				
<b>•</b> (7)	(-8.9734E-1, 4.4148E-1)(	-7.6258E-1,-6.4719E-1)	(-3.4042E-1,-9.4042E-1)				

Table IV (a): The mapping of the original (3,3) code

Table V (a): The mapping of the original (4,4) code

Code-		Codeword c	oordinates	
words	1st 2D	2nd 2D	3rd 2D	4th 2D
<b>s</b> (0)	( 9.062E-1, 4.228E-1)	(8.684E-1, 4.966E-1)	( 2.464E-2, 1.000E+	0) (-4.842E-1,-8.753E-1)
<b>a</b> (1)	( 5.534E-1, 8.329E-1)	( 3.322E-1, -9.436E-1)	( 6.981E-1, 7.165E-	1)( 6.210E-1, 7.842E-1)
<b>s</b> <sup>(2)</sup>	( 3.791E-1, 9.253E-1)	(-9.990E-1, 5.234E-2)	(-6.997E-1,-7.149E-	1)(-7.533E-1, 6.582E-1)
<b>8</b> <sup>(3)</sup>	(-2.074E-1, 9.783E-1)	(-7.372E-1,-6.762E-1)	( 7.019E-1,-7.127E-	1) ( 4.338E-1, -9.014E-1)
# <sup>(4)</sup>	(-3.927E-1, 9.197E-1)	( 4.302E-1, 9.031E-1)	(-9.994E-1, 4.267E-	2)(-9.403E-1,-3.412E-1)
<b>B</b> (2)	(-8.030E-1, 5.959E-1)	( 3.973E-2, 9.996E-1)	( 9.297E-1, 3.692E-	1)( 9.935E-1, 1.163E-1)
(a)	(-9.794E-1, 2.018E-1)	( 6.564E-1,-7.549E-1)	(-6.993E-1, 7.153E-	1)(7.794E-1, -6.271E-1)
<b>*</b> (7)	(-9.815E-1,-1.913E-1)	(9.092E-1, 4.171E-1)	(-3.729E-1,-9.282E-	1)( 2.782E-1, 9.609E-1)
<b>s</b> (•)	(-9.133E-1,-4.074E-1)	(-8.891E-1, 4.585E-1)	( 4.232E-1, 9.064E-	1)(-9.994E-1,-4.233E-2)
\$ (9)	(-5.583E-1,-8.296E-1)	( 9.938E-1,-1.140E-1)	( 9.990E-1, 5.120E-	2)( 1.086E-1,-9.944E-1)
<b>B</b> (IQ)	(-3.935E-1,-9.193E-1)	(-9.707E-1,-2.419E-1)	(-9.740E-1, 2.281E-	1)( 9.075E-1, 4.209E-1)
<b>s</b> (11)	( 1.086E-1, -9.941E-1)	(-3.160E-1, 9.491E-1)	( 8.842E-1,-4.679E-	1)(-4.187E-1, 9.085E-1)
<b>\$</b> <sup>(12)</sup>	( 3.426E-1,-9.395E-1)	(-6.945E-2,-9.979E-1)	(-8.627E-1,-5.065E-	1)(-3.522E-1,-9.363E-1)
<b>1</b> (13)	( 8.551E-1, -5.184E-1)	( 8.347E-1, -5.513E-1)	( 3.818E-1,-9.246E-	1)(-9.909E-1, 1.367E-1)
	(9.666E-1,-2.565E-1)	(-5.883E-1,-8.091E-1)	(-4.365E-1, 9.001E-	1)(-1.382E-1, 9.907E-1)
<b>B</b> (12)	(9.975E-1, 7.082E-2)	(-6.699E-1, 7.429E-1)	(-1.195E-1,-9.932E-	1) ( 9.070E-1, -4.219E-1)

Table V (b):

Refined (4,4) code

Code- words	Phase number of codewords			
<b>s</b> (0)	0	0	0	0
s <sup>(1)</sup>	1	11	14	8
<b>S</b> <sup>(2)</sup>	2	6	- 6	12
<b>a</b> <sup>(3)</sup>	3	:8	10	3
8 <sup>(4)</sup>	4	1	4	15
<b>B</b> <sup>(3)</sup>	5	2	13	6
B (6)	6	12	2	4
<b>s</b> <sup>(7)</sup>	7	15	7	9
8 <sup>(8)</sup>	8	5	15	14
<b>s</b> <sup>(9)</sup>	9	14	12	2
<b>B</b> <sup>(10)</sup>	10	7	3	7
<b>s</b> <sup>(11)</sup>	11	3	11	11
S <sup>(12)</sup>	12	10	5	1
<b>s</b> <sup>(13)</sup>	13	13	9	13
<b>s</b> <sup>(14)</sup>	14	9	1	10
\$ (15)	15	4	8	5.

**IV. CONCLUSIONS** 

The simulation confirmed that the full diversity PSK real number codes can provide significant coding gain when the symbols in a block are independently faded or when sufficient interleaving is used. The gain increases asymptotically with the block length, i.e., the diversity length at given coding rate, and also with the interleaving depth. The interleaving delay for these codes is low because of their short codeword lengths. For the codes considered in this paper, the loss

in coding gain is negligible when the original codes were refined (or simplified) to symmetric PSK codes. The refined codes are simple for implementation.

#### REFERENCES

[1] Xin Jin and Shalini Periyalwar, "Block PSK Real Number Coding for Rayleigh Fading Channel", accepted for presentation at the Sixth Annual International Conference on Wireless Communications, Calgary, Canada, Julv 1994.

- [2] D. Divsalar and M. K. Simon, "Trellis Coded Modulation for 4800-9600 bits/s Transmission Over a Fading Mobile Satellite Channel, IEEE Journal on Selected Areas in Communications, Vol. SAC-5, No. 2, Feb. 1987.
- [3] D. Divsalar and M. K. Simon, "The Design of Trellis Coded MPSK for Fading Channels: Performance Criteria", IEEE Trans. on Communications, Vol. 36, No. 9, Sept. 1988.
- [4] J. K. Cavers and P. Ho, "Analysis of Error Performance of Trellis-Coded Modulations in Rayleigh Fading Channels", IEEE Trans. on Communications, Vol. 40, No. 1, Jan. 1992.
- [5] L. Zhang and B. Vucetic, "Bandwidth Efficient Block Codes for Rayleigh Fading Channels", Electronics Letters, Vol. 26, No. 5, pp 301 - 303, Mar. 1990.
- [6] B. Vucetic, L. Zhang and G. Khachatrian, "Construction of Block Modulation Codes over Rings for Fading Channels", Electronics Letters, Vol. 26, No. 24, pp 2020 - 2022, Nov. 1990.
- [7] L. Zhang and B.Vucetic, "Multilevel Modulation Codes for Rayleigh Fading Channels", Lecturer Notes in Computer Science, No. 539, pp 477 - 488, Oct. 1991.
- [8] L. Zhang and B. Vucetic, "New MPSK BCM Codes for Rayleigh Fading Channels", Proceedings of ICCS/ISITA'92, pp 857 - 861, Nov. 1992, Singapore.
- [9] N. Seshadri and C. W. Sundberg, "Multi-level Block Coded Modulation for Rayleigh Fading Channel", Proceeding GLOBECOM'91, pp 47 - 51, Dec. 1991.